



英卓證券證券投資顧問股份有限公司防制洗錢及打擊資恐內部控制制度聲明書  
**Statement of Internal Controls for Anti-Money Laundering and Counter Terrorism Financing, M&G Investments (Taiwan) Limited**

謹代表英卓證券投資顧問股份有限公司聲明本公司於112年6月6日(營業執照日)至112年12月31日確實遵循防制洗錢及打擊資恐相關法令，建立內部控制制度，實施風險管理，並由超然獨立之稽核部門執行查核，定期陳報董事會及監察人。經審慎評估，本年度各單位防制洗錢及打擊資恐內部控制及法規遵循情形，均能確實有效執行。

On behalf of M&G Investments (Taiwan) Limited (the "Company" ), we hereby certify that from June 6, 2023 (the operating license date) to December 31, 2023, the Company has duly complied with relevant anti-money laundering and counter terrorism financing laws and regulations in establishing its internal controls and implementing the risk management framework. The Company has also been audited by independent internal auditor who reports to the Board of Directors and Supervisor regularly. After prudent evaluations, each departments of the Company has implemented effective internal control and ensure the compliance of anti-money laundering and counter terrorism financing laws and regulations during this period which the Statement relates.

此致

金融監督管理委員會

The Statement is submitted to the Financial Supervisory Commission, Taiwan, R.O.C

聲明人 Statement by

董事長 Chairperson:



(簽章)

總經理 General Manager:



(簽章)

內部稽核 Internal Auditor:



(簽章)

防制洗錢及打擊資恐專責主管 Chief AML Officer:



(簽章)

中華民國 113年 3 月 13 日 (March 13, 2024)